

# Insurance Broker Mandate

<b>Client, Principal</b> (name, address, stamp)	<b>Broker, Mandate Holder</b> <span style="float: right;">FINMA Reg. 27132</span>  Baumassurance AG Badenerstrasse 681 Postfach CH-8048 Zürich
---	---

**The Client engages and authorizes the Broker/mandate holder** with the ongoing management of the insurance portfolio.

- |                          |   |
|--------------------------|---|
| <input type="checkbox"/> | For the entire insurance portfolio, <b>including</b> pension fund plans and pension solutions |
| <input type="checkbox"/> | For the entire insurance portfolio, <b>excluding</b> pension fund plans and pension solutions |
| <input type="checkbox"/> | For pension fund plans and pension solutions <b>only</b>                                      |
| <input type="checkbox"/> | For company's liability and D&O policies <b>only</b>  |
| <input type="checkbox"/> | The mandate <b>excludes</b> company's liability and D&O policies                              |
| <input type="checkbox"/> | Others:   |

**Administration:** Unless otherwise agreed, postal correspondence will be sent to the Broker's address, valid for insurance contracts, mutations, declarations, claims documents, premium invoices, etc.. The Broker forwards the original documents to the Client. In case of special situations and needs, other arrangements may be agreed between the Parties.

**The Client(s)** remain(s) policyholder(s) and pension beneficiary(ies) as well as debtor(s) of the premiums. He/she signs insurance and pension contracts himself/herself and receives claim payments and pension benefits from the insurance companies. In special situations, in case of need or changes in the business, other arrangements can be agreed between the Parties.

**The Broker** shall however represent the Client in all other matters vis-à-vis insurance companies and foundations in all other matters. He is also entitled and authorized to conduct negotiations directly in the Client's name, to inspect all files, to take over the management of existing insurance contracts and, after consultation with the Client, to terminate them and have them re-offered.

**The Broker is committed particularly to the following tasks:**

- a) Review and evaluation of existing contracts, including clarifications of requirements and cost-effectiveness
- b) To advice the client for changes and new arrangements.
- c) To support the client in claims and loss situations.
- d) To inform the client about the development of the Swiss insurance market and social security requirements.

**Compensation:**

- a) Through the mandate, the Broker shall receive from the insurance companies a brokerage fee in line with the market. With the issuance of this mandate, the Client shall not incur any additional costs within the usual support obligations. **If the Client's insurance company does not compensated the Broker, the Client shall owe the Broker a fee, which shall be based on the consulting effort.**
- b) Additional consultations and further services shall be provided after prior consultation with the Client against payment of a fee.
- c) The Client may request from the Broker insight into the compensation of the insurance company based on his insurance portfolio at any time.

**Contract Duration:**

The Broker Mandate is a commissioned relationship and regulated by law in OR Art. 394 ff. It starts on the date of signature, is concluded for an indefinite period and may be terminated by the Parties at any time in accordance with OR Art. 404. The right of untimely termination is reserved. The Broker shall inform the insurance companies about changes in the mandate accordingly.

<b>Client</b> <b>The Client confirms to have read and understood the text</b>  Place, Date _____  Stamp and Signature(s) _____  Responsible person(s)  Name _____  Name _____	<b>Baumassurance AG</b>  Place, Date _____  Stamp and Signature(s) _____  Responsible person(s)  Name _____ FINMA reg _____  Name _____ FINMA reg _____
---	--

# Information pursuant to Article 45 of the Insurance Supervision Act (ISA)

## Your Contact

**Baumassurance AG** (hereinafter referred to as "the Broker") is a stock corporation under Swiss law with its registered office in Zurich and the following address:

Badenerstrasse 681, Postfach 8048 Zürich

Tel: +41 044 204 55 22

Mail: [info@baumassurance.ch](mailto:info@baumassurance.ch)

The Broker and its client advisors are registered in the FINMA register for insurance intermediaries under number 27132 as independent insurance intermediaries. ([www.vermittleraufsicht.ch](http://www.vermittleraufsicht.ch))

## Contractual Relationships

As an unbound and independent insurance broker, the Broker works with the following cooperation partners in all insurance branches, depending on the Client's insurance needs:

AIIG Europe S.A.	ERV	Profond
Allianz Suisse	Evasan	Protekta
Asga	Exfour	Simpego
Asisa	Fortuna Generali	Smile Direct
Assista	Generali	Solida
Assura	Group Mutuel	Swica
Automate	GVB	Swisscanto
AXA	HDI	Swisscare
Basler	Helsana	SwissLife
CAP	Helvetia	Sympany
Chubb	Innova	Telco
Bank Cler	IMG	Transparenta
Coopera	Leonteq	Trikolon
CSS	Nest	Vaudoise
Dextra	Orion	Visana
Die Mobiliar	PAX	VITA
ElipsLife	PKG	Zurich
Epona	PK-Aetas	Zugerberg
		And others

The list is constantly updated on our website [www.baumassurance.ch](http://www.baumassurance.ch).

There are cooperation agreements with the insurance companies, which, however, do not include any production obligation or exclusivity on the part of the Broker. The Broker works on behalf of his Clients in accordance with the broker mandate and is compensated by the insurance companies with standard market brokerage fees.

## Due Dilligence / Liability

Baumassurance AG is liable for any liability cases arising from errors, negligence or incorrect information within the scope of the statutory provisions.

The Broker has taken out professional liability insurance with coverage for financial loss with an insured sum of CHF 2 million.

## Education and Training

The Broker continues to train its employees on an ongoing basis, especially regarding the insurance products of the above mentioned insurance companies. If you would like to inquire about the education and training of your client advisor, please feel free to contact us at the above mentioned phone number or email address.

## Data Protection / Confidentiality

Personal data will only be processed by Baumassurance AG or its Co-Broker to the extent that this is necessary and required for the services agreed within the scope of the broker mandate (data processing purpose). Baumassurance AG undertakes to take all precautions to comply with the relevant legal provisions of data protection. The data will be treated with absolute confidentiality. Personal data is usually kept in electronic and/or paper form. The Broker and its Co-Broker treat customer data as strictly confidential in accordance with the Privacy Policy. Please read the Privacy Policy on our website:

<https://www.baumassurance.ch/datenschutz>

In accordance with the Data Protection Act, you have the right to information about the personal data we hold about you, as well as the right to rectification, blocking or deletion.

**By signing this form, the Client accepts the data transfer and data processing necessary for the processing of orders with the insurance companies.**

## Ombudsman's Office

In conflict situations, you have the option of contacting the Insurance Intermediaries Ombudsman's Office and triggering an arbitration procedure.

**The undersigned acknowledges having read and understood this legally required information.**

This form is an integral part of the broker mandate.

### Client

Place, Date \_\_\_\_\_

Signature(s) \_\_\_\_\_

Responsible person(s)

Name \_\_\_\_\_

### Baumassurance AG

Place, Date \_\_\_\_\_

Signature(s) \_\_\_\_\_

Responsible person(s)

Name \_\_\_\_\_ FINMA reg \_\_\_\_\_

Name \_\_\_\_\_ FINMA reg \_\_\_\_\_